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Topical Index

References to an exemption generally are to the first page of the chapter covering the exemption. Where there is a reference to a specific part of an exemption or to a discussion in the preamble to a *Federal Register* notice, the page number is in *italics*.

Unless otherwise noted, PTE references in the second column are to the most recent exemptions, which may amend or supersede prior exemptions. References to exemptions that have been amended or superseded, and to proposed exemptions, are included where these may assist the reader in understanding provisions of an exemption or particular legal issues. The following system of indicators is used in the second column:

- brackets: exemptions that have been superseded, replaced, revoked or withdrawn;
- parentheses: proposed exemptions or proposed amendments;
- asterisk: indicates PTE has been amended.

References to specific statute sections (e.g., ERISA Section 404(c)) are listed in the first column under the full name of the statute.

A list of applicants for PTEs is included in a separate section preceding this index.

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